



Form ADV Part 3 (Form CRS): Relationship Summary

September 22, 2021

Item 1: Introduction

GeaSphere, LLC. (“GeaSphere”) is an investment adviser registered with the United States Securities and Exchange Commission. Investment advisory and brokerage services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services

What investment services and advice can you provide me?

GeaSphere creates and manages model portfolios for its clients, other registered investment advisers and employer sponsors of retirement plans and Investment Exchanges. GeaSphere provides portfolio management services where we manage money for clients who prefer an aggressive portfolio, a moderately aggressive portfolio, or a conservative portfolio. We also manage our client’s money for growth and income purposes. Additionally, some of our clients may ask us, from time to time, for advice on how to allocate assets to their third-party retirement accounts. We do not have discretion over these third-party retirement accounts; however, we will provide asset allocation advice based on a larger portfolio and risk analysis to ensure our clients are receiving well-rounded investment advice for all their investment portfolios.

We provide financial planning where we recommend products and services that help clients reduce their taxable income. We provide cash flow analysis for retiree clients to help them identify their cash flow and then compare such cash flow to their expenses. For estate planning services, we help clients identify and ensure they have the appropriate documentation needed to maximize their tax savings and protection planning. We also assist clients with making appointments with estate planning attorneys and make sure their estate planning affairs are set up properly.

GeaSphere evaluates each client's overall investment experience, financial goals, targeted retirement date and tolerance for market risk. Based on this information, GeaSphere selects certain model investment portfolios for each client. An account typically consists of, but is not limited to, stocks, mutual funds, real estate investment trusts (“REITs”), exchange traded funds (“ETFs”), inverse ETFs, bonds, and bond funds. We hedge portfolios by buying inverse ETFs for a short-term basis to reduce portfolio drawdowns during market corrections. We also reduce model portfolio drawdowns by using our proprietary stock selection process of purchasing equities in pairs of sometimes none or of less correlating equities.

The GeaSphere Analysis System (“System”) and the Price to Free Cash Flow (“PFCF”) research study principals are the foundation of our model construction and stock picking philosophy. The “GeaSphere Analysis System” methodology offers a disciplined, rules-based, investment approach employing tactical asset class decisions within the guidelines of strategic boundaries. Our portfolio construction begins with our proprietary stock valuation process which includes fundamental and technical analysis.

For additional information, please see Form ADV, Part 2A.

Conversation Starter. Ask your financial professional.

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including licenses, education, and other qualifications? What do these qualifications mean?*

Item 3: Fees, Costs, Conflicts, and Standard of Care

What fees will I pay?

The annualized fee for Model Portfolio Management Services will be charged as a percentage of assets under management, according to the fee schedule listed below. The fee is prorated and is paid monthly as determined by us, in arrears, based upon the market value of the assets on the last day of the previous month as valued by the custodian. The fee for the initial month shall be calculated on a pro rata basis commencing on the day the assets are initially designated to us for management under our advisory agreement. No portion of the fee shall be based on capital gains or capital appreciation of the assets except as provided herein and provided for under the Investment Advisers Act of 1940, as amended (the “Advisers Act”) and analogous state securities laws. No increase in the advisory fee shall be effective without prior written notification to you.

GeaSphere’s fee billing information is as follows:

- 1.25% for \$0.00 to \$500,000
- 1.00% for \$500,001 to \$1,000,000
- 0.90% for \$1,000,001 to \$3,000,000
- 0.75% for \$3,000,001 to \$10,000,000
- 0.50% for \$10,000,000 and more

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we are legally required to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money could potentially create some conflicts of interest. We strive to minimize any potential conflicts to the best of our ability, but if you have any questions or concerns, please ask us so that we can explain how any potential conflicts might impact you and what steps we take to minimize those conflicts.

How do your financial professionals make money?

Our financial professionals are paid a salary and a discretionary bonus. Neither the salary nor bonus is based on or tied to the number of clients that have been referred to GeaSphere or the types of investment products we recommend to clients.

For additional information, please see Form ADV, Part 2A.

Conversation Starter. Ask your financial professional.

- *Help me understand how these fees and costs might affect my investment? If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*
- *How might your conflicts of interest affect me, and how will you address them?*

Item 4: Disciplinary History

Do your financial professionals have legal or disciplinary history?

No. GeaSphere and its financial professionals have no legal or disciplinary record. Visit www.investor.gov/CRS, for a free and simple search tool to research our firm and our financial professionals.

Conversation Starter. Ask your financial professional.

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Item 5: Additional Information

Who is my primary contact person?

Your GeaSphere financial professional will be your primary point of contact. However, you may also contact GeaSphere’s Chief Compliance Officer, Eduard Hamamjian at 401-351-4900 or eduard@geasphere.com.