



4900 Perry Highway, Suite 300
Pittsburgh, PA
(800) 245-5339 -- Toll Free
(412) 415-1177 – JMS Capital Group Wealth Services Direct Line
www.jmscapitalgroup.com | www.pwasecurities.com

Business Continuity Plan – Disclosure

JMS Capital Group Wealth Services LLC maintains a business continuity plan, including redundant data storage and alternate facilities, to address interruptions to its normal course of business. These plans are reviewed annually and updated as necessary, and outline the actions JMS will take in the event of an internal or external significant business disruption, including relocating technology and operational personnel to alternate facilities.

JMS operational facilities are equipped for resumption of business and are tested annually. JMS recovery time objective for business resumption, including those involving a relocation of personnel or technology, is four (4) hours. This recovery objective may be negatively affected by the unavailability of external resources and circumstances beyond our control.

In the event that you cannot reach us at JMS telephone numbers listed above, written inquiries may be directed to our office or website, as well. *Please note that security transaction or cash disbursement requests may not be immediately received in this manner and cannot be guaranteed.*

In the event that JMS experiences a significant business interruption and we cannot be reached, and you wish to process trade-related instructions, cash disbursements and/or security transfers, you may contact our broker/dealer – PWA Securities, Inc. – or your account custodian directly as follows:

Written Instructions

Pershing LLC
PO Box 2065
Jersey City, NJ 07303

American Funds
PO Box 2280
Norfolk, VA 23501

PWA Securities
4900 Perry Hwy #300
Pittsburgh, PA 15229

Telephone Inquiries

Pershing LLC
(201) 624-6100, x 500

American Funds
(800) 421-4225

PWA Securities, Inc.
(412) 931-2700